1 2 3 4 5 6 7 8	ROB BONTA Attorney General of California E. A. JONES III Supervising Deputy Attorney General WENDY WIDLUS Deputy Attorney General State Bar No. 82958 California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 269-6457 Facsimile: (916) 731-2117 E-mail: Wendy.Widlus@doj.ca.gov Attorneys for Complainant	
9	BEFOR	<b>Г</b> ТИГ
10	ACUPUNCTO DEPARTMENT OF C STATE OF C	URE BOARD ONSUMER AFFAIRS
11	STATE OF C	ALIFORNIA
12	In the Metter of the First Amended Acquisition	L Cose No. 1 A 2017 220
13	In the Matter of the First Amended Accusation Against:	Case No. 1A-2017-229
14	GEORGE HUANG CHI KU, L.Ac.	Case No. 1A-2019-231
15	21117 East Valley View Drive Walnut, CA 91789	FIRST AMENDED ACCUSATION
16	Acupuncturist License No. AC 11602,	
17	Respondent.	
18		
19		
20	<u>PAR'</u>	
21		gs this First Amended Accusation solely in his
22	official capacity as the Executive Officer of the A	Acupuncture Board, Department of Consumer
23	Affairs.	
24	2. On or about February 23, 2007, the A	cupuncture Board issued Acupuncturist License
25	Number AC 11602 to GEORGE HUANG CHI k	XU, L.Ac. (Respondent). The Acupuncturist
26	License was in full force and effect at all times re	levant to the charges brought herein and will
27	expire on May 31, 2022, unless renewed.	
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1	JURISDICTION	
2	3. This First Amended Accusation is brought before the Acupuncture Board (Board)	
3	Department of Consumer Affairs, under the authority of the following laws. All section	
4	references are to the Business and Professions Code (Code) unless otherwise indicated.	
5	STATUTORY PROVISIONS	
6	4. Section 4928.1 of the Code states:	
7 8	Protection of the public shall be the highest priority for the Acupuncture Board in exercising its licensing, regulatory, and disciplinary functions. Whenever the	
9	protection of the public shall be paramount.	
10	5. Section 4927, of the Code states:	
11	As used in this chapter, unless the context otherwise requires:	
12		
13	(d) "Acupuncture" means the stimulation of a certain point or points on or near the surface of the body by the insertion of needles to prevent or modify the perception	
<ul><li>14</li><li>15</li></ul>	of pain or to normalize physiological functions, including pain control for the treatment of certain diseases or dysfunctions of the body and includes the techniques of electroacupuncture, cupping, and moxibustion.	
16	6. Section 4955 of the Code states, in pertinent part:	
17	The board may deny, suspend, or revoke, or impose probationary conditions upon, the license of any acupuncturist who is guilty of unprofessional conduct.	
18	Unprofessional conduct shall include, but not be limited to, the following:	
19	•••	
20	(d) Aiding or abetting in, or violating or conspiring in, directly or indirectly, the	
21	violation of the terms of this chapter or any regulation adopted by the board pursuant to this chapter.	
22	•••	
<ul><li>23</li><li>24</li></ul>	(i) Any action or conduct that would have warranted the denial of the acupuncture license.	
25	7. Section 4955.1 of the Code states:	
26	The board may deny, suspend, revoke, or impose probationary conditions upon	
27	the license of any acupuncturist if he or she is guilty of committing a fraudulent act including, but not be limited to, any of the following:	
28	•••	

1	(b) Committing a fraudulent or dishonest act as an acupuncturist.
1 2	(c) Committing any act involving dishonesty or corruption with respect to the qualifications, functions, or duties of an acupuncturist.
3	(d) Altering or modifying the medical record of any person, with fraudulent intent, or creating any false medical record.
5	(e) Failing to maintain adequate and accurate records relating to the provision of services to their patients.
6	8. Section 4955.2 of the Code states:
7 8	The board may deny, suspend, revoke, or impose probationary conditions upon the license of any acupuncturist if he or she is guilty of committing any one of the following:
9	(a) gross negligence
10	(b) Repeated negligent acts.
11	
12	9. Section 726 of the Code states, in pertinent part:
13	(a) The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for
14	disciplinary action for any person licensed under this division or under any initiative act referred to in this division.
15 16	
17	10. Section 810 of the Code states, in pertinent part:
18	(a) It shall constitute unprofessional conduct and grounds for disciplinary
19	action, including suspension or revocation of a license or certificate, for a health care professional to do any of the following in connection with his or her professional
20	activities:
21	(1) Knowingly present or cause to be presented any false or fraudulent claim for the payment of a loss under a contract of insurance.
22	(2) Knowingly prepare, make, or subscribe any writing, with intent to present or
23	use the same, or to allow it to be presented or used in support of any false or fraudulent claim.
24	(b) It shall constitute cause for revocation or suspension of a license or
25	certificate for a health care professional to engage in any conduct prohibited under Section 1871.4 of the Insurance Code or Section 549 or 550 of the Penal Code.
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1	11. California Code of Regulations, title 16, section 1399.453, states:
2 3	An acupuncturist shall keep complete and accurate records on each patient who is given acupuncture treatment, including progress made as a result of the acupuncture treatments.
4	12. California Code of Regulations, title 16, section 1399.469.3, states:
5	(a) A licensed acupuncturist engaged in the practice of acupuncture shall
6	provide notice to each patient of the fact that the acupuncturist is licensed and regulated by the California Acupuncture Board. This notice must be posted at each of the practice locations the licensee provides services. The notice shall include the
7	following statement and information:
8	"NOTICE TO CONSUMERS
9	Acupuncturists are licensed and regulated by the California Acupuncture Board
10	(916) 515-5200
11	http://www.acupuncture.ca.gov/"
12	(b) The notice required by this section shall be provided by prominently
13	posting the notice in a conspicuous location accessible to public view on the premises where the acupuncturist provides the licensed services, in which case the notice shall be at least 48 point type fort
14	be at least 48-point type font.
14 15	COST RECOVERY
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15   16   17   18   19   20   21   22   23   24   25	COST RECOVERY  13. Section 4959 of the Code states:  (a) The board may request the administrative law judge, under his or her proposed decision in resolution of a disciplinary proceeding before the board, to direct any licensee found guilty of unprofessional conduct to pay to the board a sum not to exceed actual and reasonable costs of the investigation and prosecution of the case.  (b) The costs to be assessed shall be fixed by the administrative law judge and shall not in any event be increased by the board. When the board does not adopt a proposed decision and remands the case to an administrative law judge, the administrative law judge shall not increase the amount of any costs assessed in the proposed decision.  (c) When the payment directed in the board's order for payment of costs is not made by the licensee, the board may enforce the order for payment in the superior court in the county where the administrative hearing was held. This right of enforcement shall be in addition to any other rights the board may have as to any licensee directed to pay costs.

### **DEFINITIONS**

- 14. "Yang Qi" In Traditional Chinese Medicine [TCM] Qi is energy in the very broadest sense possible. Qi is universal and embraces all manifestations of energy, from the most material aspects of energy (such as the earth beneath your feet, your computer, and flesh and blood) to the most immaterial aspects (light, movement, heat, nerve impulses, thought, and emotion). A healthy (and happy) human being is a dynamic but harmonious mixture of all the aspects of Qi that make up who we are. Qi is in a state of continuous flux, transforming endlessly from one aspect of Qi into another. It is neither created nor is it ever destroyed; it simply changes in its manifestation. Yin and yang are terms used to describe relative opposite qualities or manifestations of Qi. Yin refers to aspects or manifestations of Qi that are relatively material, substantial, condensing, solid, heavy, descending, cold, moist, cooling, dark, passive and quiescent. Yang refers to aspects or manifestations of Qi that are relatively immaterial, amorphous, expanding, hollow, light, ascending, hot, dry, warming, bright, aggressive, and active.
- 15. Frozen shoulder, also known as adhesive capsulitis, is a condition characterized by stiffness and pain in the shoulder joint. In TCM, frozen shoulder is called "Fifties Shoulder" because it often affects people over age 50 when their energy is declining through a weakening of yang qi. According to TCM theory water in the body can congeal into dampness which stagnates in the shoulder joint. Frozen shoulder usually starts with inflammation of the tendons in the joint, or inflammation of the joint itself. There is a complex network of muscles and bone at the shoulder, because of the wide range of movements which the arms constantly have to perform. Movement becomes extremely painful in a frozen shoulder, if not impossible, but it is important to try to keep the joint moving, otherwise the stiffness and pain will worsen and can result in permanent damage.
- 16. Knee pain in TCM is associated with bi syndrome, which has 4 causes: wind, dampness, cold and heat. A practitioner needs to identify a cause and treat it accordingly.
  - 17. Bursitis is the painful swelling of bursae which are fluid-filled sacs that cushion the

tendons, ligaments, and muscles. Bursae work normally by helping the tendons, ligaments, and muscles glide smoothly over bone. However, when the bursae are swollen, the area around them becomes very tender and painful. Trochanteric bursitis is swelling affecting the bursae of the hip. In TCM a bursitis diagnosis is caused by inflammation of the tendon, ligament or muscle and can also be a sprain or strain. An acupuncture practitioner need to distinguish it from the chief complaint by tongue, pulse, symptom, physical exam and treat accordingly by basically distinguishing it into excessive or deficient type.

18. Tui-Na (pronounced twee naw), literally means pinch and pull and refers to a wide range of TCM therapeutic massage and body work. Tui-Na is not generally used for pleasure and relaxation, but rather as a treatment to address specific patterns of disharmony.

### **FACTUAL SUMMARY**

# Patient 1

- 19. On or about November 12, 2017, the Board received a complaint from Patient 1<sup>1</sup> regarding Respondent which alleged that Respondent had committed sexual misconduct. The Board initiated an investigation of the events underlying this complaint.
- 20. The investigation into the complaint was conducted by a Department of Consumer Affairs, Division of Investigations, Investigation and Enforcement Unit investigator (Investigator) who confirmed that Respondent was a licensed acupuncturist at all times during the time of the events referred to in the complaint.
- 21. During the investigation the Investigator spoke with Patient 1 who stated she was seeing a physical therapist for her "frozen" left shoulder but the physical therapy was not helping her and the physical therapist recommended that she try acupuncture treatments.
- 22. Patient 1 has two male friends who spoke highly of Respondent's acupuncture skills and referred her to Respondent for acupuncture for her "frozen" shoulder. Patient 1 had been treated with acupuncture 15 to 20 years previously and knew that acupuncture did not provide immediate relief and could require several visits to feel some improvement. Before deciding to

<sup>&</sup>lt;sup>1</sup> The names of the patients and/or witnesses are anonymized to protect their privacy rights. The names will be provided to Respondent upon written request for discovery.

see Respondent Patient 1 checked with Respondent and determined that he did take her insurance.

- 23. Respondent's office was in a medical building and he did not have any employees. Respondents office contained two small beds, a bench, two gongs, and a small desk. There was no changing room in his office. During Patient 1's first visit Respondent confirmed that he did treat patients for "frozen" shoulder.
- 24. Prior to Patient 1's first treatment she provided Respondent with her insurance information and he told her there would be a \$15.00 co-pay for each visit. Respondent never had Patient 1 fill out any insurance paperwork. The one time Patient 1 requested a receipt Respondent wrote her it on a post-it note. Patient 1 told the Investigator she did not recall ever signing a consent form for Respondent.
- 25. Respondent's treated Patient 1 with acupuncture during her first visit and she experienced some relief which did not last. Patient 1 continued to see Respondent because she knew that it would take time for her to receive lasting relief. Patient 1 told the Investigator that Respondent was the only person who had been able to help her with her "frozen" shoulder, and that Respondent was very good at what he did.
- 26. Patient 1 told the Investigator that Respondent treated her 40 to 50 time over approximately one year with the majority of the treatments occurring during the first year. Respondent had medical records for only three of Patient 1's visits. Patient 1's purported signatures on those medical records do not match Patient 1's signature on copies of checks she provided to the Investigator for comparison. Patient 1 stated she did not sign any forms which were provided by Respondent.
- 27. Patient 1 was periodically uncomfortable during some of her treatments with Respondent. Patient 1 is from China and Respondent is from Taiwan and Patient 1 stated Respondent behaved as though he was from a different culture. Patient 1 told the Investigator that Respondent did have medical gowns in his office but never offered Patient 1 a gown. Patient 1 told the Investigator that she did wear tank tops or low cut dresses for her treatment but when she told Respondent she needed to change her clothes prior to treatment Respondent just stood there while she changed her clothes. Patient 1 used the bathroom to change her clothes several

times during the period Respondent treated her.

- 28. During the first year of treatment Respondent told Patient 1 to face the wall to stretch by placing her hands above her head on the wall. Respondent stood behind Patient 1 and then placed his hands on the back of her hands which were on the wall. As he did this Respondent stood so close to Patient 1 she could feel his chest against her back.
- 29. Patient 1 told the Investigator that she has seen both male and female physical therapists who have touched her during portions of stretching exercises. Patient 1 did not experience emotional or mental discomfort with the touching which occurred during those physical therapy sessions. Although Respondent's behavior made her uncomfortable Patient 1 thought Respondent's behavior might have been because their culture did not value personal space the way the American culture does.
- 30. Respondent first treated Patient 1 with acupuncture and then added Tui-Na. Patient 1 continued to feel better and noticed positive results from Respondent's treatments.
- 31. Respondent and Patient 1 usually talked throughout Patient 1's treatments. Prior to beginning treatment with Respondent Patient 1 had suffered a miscarriage. During a conversation with Respondent Patient 1 related this experience and mentioned that she was seeing physicians for fertility treatment. Respondent's reaction to these disclosures was to make comments such as, "Maybe you just have too much sex." Respondent treated Patient 1 several times for fertility.
- 32. Patient 1 told the Investigator that there was a change in Respondent's attitude after she discussed her fertility issues with him.
- 33. Thereafter, during Patient 1's second year of treatment Respondent required Patient 1 to remove her shirt during treatments and sometimes told her she did not need to wear her bra during her acupuncture treatments. Respondent began to make comments about Patient 1's breasts.
- 34. During one of her sessions, Patient 1 had trouble reaching the bra clasp in the back and Respondent offered to help. Respondent then seemed to apply Tui-Na below Patient 1's left armpit and above her floating ribs around her bra line. Respondent said that he had not unclasped a bra in a long time.

- 35. Respondent put needles into Patient 1's rotator muscle around her left shoulder joint and then pressed Patient 1's breasts with his fingers while she was laying on the treatment table. Respondent told Patient 1 he could tell her breasts were real, and that he had a patient who had "fake breasts" and he could tell the difference. Respondent told Patient 1 he knew that her breasts were real because fake breasts do not move. Respondent then said his wife and daughter had taken a long trip.
- 36. Patient 1 did not say anything to Respondent about this incident because she thought she might have been overly sensitive to what had occurred. Although she was uncomfortable about Respondent's comments and behavior during her treatments Patient 1 continued to treat with Respondent because both her shoulder and her hip bursitis were improving.
- 37. Patient 1's last appointment with Respondent was in August 2017. At that appointment Patient 1 asked Respondent to treat the bursitis in her hips. During this visit she was wearing a dress, underwear, and no bra.
- 38. Respondent instructed Patient 1 to lay face up on the treatment table and pull her dress up. Respondent pressed his fingers around Patient 1's left breast. Respondent told Patient 1 he was going to work on her hip.
- 39. Respondent then used one hand to lift her underwear. Respondent placed his other ungloved hand inside of her underwear. Respondent rubbed his entire hand back and forth on Patient 1's pubic area and stared at her underwear. Patient 1 watched Respondent as he did this. Respondent eventually removed his hand and did not say anything.
- 40. Patient 1 told her husband, a friend, and her new acupuncturist what occurred during her last visit to Respondent on August 16, 2017. Patient 1's acupuncturist told Patient 1 that if Patient 1 did not report Respondent to the Acupuncture Board she would make a report.
- 41. On May 21, 2018, the Investigator went to Respondent's business *Acupuncture Master Clinic* at 2705 South Diamond Bar Boulevard, Suite 208, Diamond Bar, California. There was a sign over Suite 208 which stated *Acupuncture Master*. The door was locked and no one responded to the Investigator's knocks. The Investigator phoned Respondent who agreed to meet with the Investigator the next day at his acupuncture office.

- 42. On May 22, 2018, the Investigator went to Respondent's acupuncture office and met Respondent who was identified by his California Driver's license. Respondent did not have his current acupuncture license nor did he have a current acupuncture license posted in his business. Respondent also did not have a "Notice to Consumer" posted. The Investigator told Respondent that he was required to have a current license and that it was a violation of California Code of Regulations section 1399.469.3, subdivision (a), not to have a "Notice to Consumer" posted in his business.
- 43. During his visit to Respondent's business the Investigator provided Respondent with a subpoena for Patient 1's records. At that time Respondent stated Patient 1 was a good customer of his who had been referred to him and who he had seen for a year. Respondent stated Patient 1 had referred someone to him for acupuncture treatment. Respondent said he had seen Patient 1 every two weeks for treatment for "shoulder popping" and limited movement. Although he did not remember doing acupuncture or treatment on Patient 1's hip he did say that his patients occasionally requested that he work on a body part other than what they were being seen for and he tried to accommodate his patients' requests. Respondent remembered that Patient 1 was stressed about trying to have a baby and asked him to provide fertility treatments but he said he did not offer fertility treatment.
- 44. Respondent repeatedly stated Patient 1 had been a good patient and said she had paid out of pocket for her last three treatments because her insurance would not cover the treatments but that there were no billing disputes with Patient 1.
- 45. On June 7, 2018, the Investigator received Patient 1's records from Respondent for June 24, 2016, July 23, 2017, and July 27, 2017. The Investigator noted that Patient 1's signature in Respondent's records was written in block letters whereas the signatures in checks provided to the Investigator for comparison were written in cursive writing. The Investigator also noted Patient 1's records included an Acupuncture and Tui-Na consent form.
- 46. Respondent's medical records show Patient 1's chief complaints were "frozen" shoulder left side for approximately seven years and knee pain for approximately five years. The medical records for June 24, 2016, show acupuncture treatment points, but do not indicate either

the treatment time or the treatment plan. The acupuncture records for July 23, 2017, and July 27, 2017, do not indicate any acupuncture treatment points and no length of treatment time.

- 47. Respondent provided only three medical records for Patient 1 although both Patient 1 and Respondent stated Respondent treated Patient 1 on more than two occasions. Respondent's records included two signatures purportedly made by Patient 1. Patient 1 did not sign any forms for Respondent. Respondent submitted 33 insurance claims for reimbursement for treatment to Patient 1's insurance carrier.
- 48. On June 13, 2018, Patient 1 and the Investigator spoke during which conversation Patient 1 told the investigator Respondent had texted her twice. Patient 1 did not respond to the texts and after the second text blocked Respondent's number. In the texts Respondent said he had received a visit from an investigator, and Respondent asked Patient 1 why she had made a complaint against him. Respondent stated for the past three years he had had a surveillance camera in his office and Patient 1's visits were recorded. Respondent asked Patient 1 to take her case back.

# Patient 2

- 49. Respondent also treated Patient 1's husband, Patient 2. Respondent initially provided only two medical records for Patient 2 and both Patient 2 and Respondent stated Respondent treated Patient 2 on two occasions. The signature lines on Respondent's medical records for Patient 2 are blank.
- 50. On June 20, 2018, Patient 2 and the Investigator spoke by phone and the Investigator received by email Patient 2's signed Authorization for Release of Medical Records form for his treatment records from Respondent.
- 51. On July 3, 2018, Respondent sent Patient 2's medical records to the Investigator dated October 29, 2016, and December 17, 2016. Respondent's medical records for Patient 2 reflected Patient 2's chief complaint was finger "jammed" on the right side for approximately three months, low back pain on the right side for approximately three weeks, and a thumb injury on the right side for approximately nine months.
  - 52. Patient 2 told the investigator Respondent treated him twice for lower back pain.

Patient 2 did not remember signing any paperwork, or filling out any questionnaires.

53. Respondent billed Patient 2's insurance for 20 acupuncture treatments. One of these claims was denied. The Investigator determined that Respondent received \$455.77 from Patient 2's insurance company for 17 acupuncture treatments which did not occur. Respondent admitted to the Investigator that he billed Patient 2 for acupuncture treatment he did not provide.

## Patient 3

- 54. On or about December 31, 2019, the Board received a complaint from Patient 3 regarding Respondent which alleged that Respondent had committed sexual misconduct. The Board initiated an investigation of the events underlying this complaint.
- 55. The investigation into the complaint was conducted by a different Department of Consumer Affairs, Division of Investigations, Investigation and Enforcement Unit investigator (Investigator 2) who confirmed that Respondent was a licensed acupuncturist at all times during the time of the events referred to in the complaint.
- 56. During the investigation Investigator 2 spoke with Patient 3 and confirmed that Patient 3 had been treated by Respondent at his business *Acupuncture Master Clinic* at 2705 South Diamond Bar Boulevard, Suite 208, Diamond Bar, California, 91765.
- 57. Patient 3 stated she had received treatment by other health professionals for symptoms she was experiencing prior to being treated by Respondent and she stated she had seen a chiropractor and an acupuncturist for her headaches. Patient 3 stated she had received 24 acupuncture sessions from a different acupuncturist prior to seeing Respondent during the course of one year
- 58. Patient 3 stated she did not know Respondent prior to finding his business on Google. Patient 3's insurance provider [ASH] allowed two acupuncture visits a month and Respondent's clinic was listed in ASH's network as an approved acupuncturist.
- 59. Patient 3 stated she was approved for two treatments a month for a total of 8 visits for treatment of chronic headaches.
- 60. Patient 3 stated in October, 2019 she contacted Respondent using the telephone number listed on Google, which was the Respondent's cell telephone number. Respondent asked

Patient 3 to text him her insurance card to see if the he could approve her. Patient 3 stated her first text message to Respondent was on October 28, 2019, when she told Respondent she was suffering from chronic headaches and wanted to know if he would accept her as a new patient. Patient 3 stated she did not mention anything else to Respondent about why she wanted acupuncture treatment.

- 61. Patient 3's first visit to Respondent was on October 30, 2019, and in response to Investigator 2's question, she stated Respondent did not have her fill out a health questionnaire nor did he ask her for her health or medical history. Patient stated she signed Respondent's "Acupuncture and Tui Na Therapy Treatment Consent Form [Consent Form]." Investigator 2 showed her a Consent Form obtained from Respondent during the investigation and Patient 3 confirmed the signature on the form was in her handwriting but stated the form had not been dated by her.
- 62. After reviewing the Patient Progress notes [Patient Progress] forms obtained from Respondent during the investigation Patient 3 corrected her earlier statement. Patient 3 stated she now recalled she had never signed the Consent Form nor had she signed the Patient Progress notes. Patient 3 remarked the signatures on the respective forms were smaller than her signature.
- 63. Investigator 2 asked Patient 3 a series of questions regarding her first appointment with Respondent. Patient 3 stated Respondent did not perform a physical or scan her body to determine her diagnosis during her first visit. Respondent did not tell the patient how he was going to treat her symptoms. Patient 3 stated Respondent's English was not good. Patient 3 remembered Respondent arrived a little late and there was another patient waiting for treatment. Respondent placed needles at trigger points along her neck area on her left side and pointed at a diagram on the wall that listed pressure points on a person's body. Respondent's only other treatment was to place heat pads on her.
- 64. Patient 3 stated Respondent did not ask her to wear a gown prior to treating her. Patient 3 explained that because she had been to other acupuncture clinics she knew acupuncturists used a gown and she brought her own gown due to cleanliness concerns so

Respondent could get to her neck area. Patient 3 changed in the treatment room while Respondent stepped out of the room to allow her to change.

- 65. Investigator 2 asked Patient 3 to describe her appointments with Respondent excluding the December 10, 2019, and December 24, 2019, appointments.
- 66. Patient 3 stated her first treatment was approximately 45 minutes and the subsequent visits lasted approximately an hour. Patient 3 would go to the treatment room and would change into a gown.
- 67. On or about November 5, 2019, Patient 3 stated Respondent started "scanning" her body after telling her he had created and developed a special acupuncture treatment that no one else performed. Respondent explained his unique treatment determined the location of the problematic areas in her body. Patient 3 stated Respondent would scan her body by gliding his hand along her body and applying pressure at different pressure points both over and under the patient's gown.
- 68. Patient 3 stated Respondent would scan her body mostly on her skin, not over her gown. The patient stated Respondent would move her gown, place his hand on top of her skin to scan her body and say, "This feels tender? If it hurt this is where I will stick a needle."
- 69. Respondent explained to Patient 3 that he would scan her body because there were interconnecting parts of the body which corresponded to her neck which could be causing her headaches. Thereafter Patient 3 lay either face down, face up, on her side or stood while Respondent scanned her thighs, legs and glutes.<sup>2</sup> Respondent would always scan her body prior to Respondent placing needles in her body.
- 70. When Investigator 2 asked Patient 3 whether Respondent performed unusual treatment during any of the visits from October 30, 2019, through December 2, 2019, Patient 3 stated the Respondent's treatment to her glutes was unusual. Patient 3 was experiencing pain in her glutes due to her workouts. Beginning in the last November appointment Respondent concentrated on her glutes although he touched her whole body during the appointments.

<sup>&</sup>lt;sup>2</sup> Glutes are defined as any one of three large skeletal muscles that form the buttock and move the thigh.

- 71. Investigator 2 asked the patient to describe her December 10, 2019, appointment with Respondent. Patient 3 said Respondent scanned her body, applied pressure, and asked if a particular area was tender. If the patient said the area was tender Respondent placed a needle in that area for about five minutes. In addition, sometimes Respondent would hold and spin the needle between his fingers. Investigator 2 asked if she requested treatment to her knees on that date and Patient 3 stated she never asked Respondent to treat her knees.
- 72. During the December 10, 2019, visit Patient 3 stated she laid face up on Respondent's treatment table the entire time. When Respondent asked if there were any part of her body that was hurting she told Respondent her pectoral area hurt and her head ached. Respondent immediately went to her pectoral area and then scanned her legs. Respondent squeezed her legs, and touched various pressure areas on her feet. Respondent used his entire hand and a single finger to do this, as well as using his entire hand in a cupping motion. Respondent applied pressure with his fingers around the patient's glute area and inserted needles after he applied pressure.
- 73. Patient 3 asked Respondent to treat her neck during her December 10, 2019, appointment. Respondent placed his fingers on the left side of her neck and when he found an area which was tender, he placed a needle there.
- 74. Investigator 2 asked if Patient 3 asked Respondent to treat her shoulder on December 10, 2019. Patient 3 said she told Respondent that her shoulder hurt and Respondent treated her pectoral<sup>3</sup> area.
- 75. Respondent lowered Patient 3's gown and exposed her right breast. Respondent stated Patient 3 had no whiteness or discharge coming out from her nipples which was a sign of good health.
- 76. Respondent did not concentrate on any particular area of Patient 3's breast. He applied pressure to different areas of Patient 3's breasts in no special pattern. Respondent did not

<sup>&</sup>lt;sup>3</sup> The pectoralis major muscle is a large muscle in the upper chest, fanning across the chest from the shoulder to the breastbone The pectoral region is located on the anterior chest wall. It contains four muscles that exert a force on the upper limb: the pectoralis major, pectoralis minor, serratus anterior and subclavius.

ask Patient 3 if it hurt while he applied pressure to the different areas of her breast. Respondent did not touch the patient's nipple.

- 77. Patient 3 was lying face up on the treatment table wearing shorts while Respondent scanned her legs, and as he did so, he bumped and glided across Patient 3's genital area. Patient 3 stated she did not say anything because she felt good about Respondent's overall treatment and thought Respondent's touching of her genital area was an accident. Patient 3 did not think bumping her genital area was part of her treatment. Patient 3 stated Respondent scanned and squeezed her body both before and after he treated her pectoral area.
- 78. Patient 3 stated as she was leaving, Respondent hugged and kissed the patient on her right cheek. Patient 3 stated this was the first time Respondent hugged and kissed her. Patient 3 stated she felt "weird" and thought it was a mistake. Patient 3 stated there had been small talk about what Respondent did outside the office yet this experience was too friendly. Patient 3 stated she did not think much of it because she was desperate to be treated and Respondent had helped her feel better.
- 79. Investigator 2 asked Patient 3 to describe her December 24, 2019, appointment. Patient 3 stated she had been considering not returning for treatment from Respondent because of what had happened during the December 10, 2019, appointment. Nevertheless, Patient 3 was having a great deal of pain so she texted Respondent for an appointment and he told her the 24th would be ok.
- 80. When Patient 3 arrived on December 24, 2019, she put on her gown and went to the treatment room. Patient 3's treatment session started around 11:00 a.m. and ended at 1:00 p.m. which was much longer than usual and she asked Respondent if there was anyone else waiting for treatment.
- 81. During the two-hour treatment session on December 24, 2019, Respondent scanned Patient 3's body. As Respondent scanned the patient's body, he commented that she was "slender, in good shape, and a nice girl."
- 82. While Patient 3 was lying face up on Respondent's treatment table, Respondent kissed the patient's left cheek and then asked Patient 3 if he could kiss her. Patient 3 said no, and

stayed calm because she did not want Respondent to take advantage of her.

- 83. Respondent then asked Patient 3 if she had a boyfriend and Patient 3 said yes to ward him off. After Patient 3 said she had a boyfriend Respondent took her hand and held it. Patient 3 stayed in the same position and told Respondent she had to go and Respondent said he wanted her to stay.
- 84. After Respondent told Patient 3 he wanted her to stay Patient 3 went into the bathroom to calm herself. Then Patient 3 and Respondent spoke about the next appointment.

  Once Patient 3 gathered her belongings she left in shock and called one of her friends to calm her down.
- 85. Investigator 2 asked Patient 3 if Respondent asked her any personal questions on December 24, 2019. Patient 3 said Respondent asked her if she had a boyfriend; if she was planning on having kids; made comments about her nails; and told her that she was very slender, yet she had a nice body.
- 86. Investigator 2 asked Patient 3 if she asked Respondent if he treated women in the area of fertility and she stated she had not. Investigator 2 asked Patient 3 if Respondent asked if she were married and Patient 3 stated no. Investigator 2 asked Patient 3 if she told Respondent that she had a boyfriend and she said Respondent asked if she had a boyfriend and she told him that she did because she wanted to discourage him from doing anything further which she believed would act as a "barrier" because that meant she was "taken."
- 87. Patient 3 stated she called ASH on December 24, 2019, and told the person who answered the call what happened on December 10, 2019, and December 24, 2019. The patient stated she had no evidence that it happened to her and the person said she could make a claim.
- 88. Patient 3 stated she also called Blue Cross [BC] to complain about what had happened with the provider and thought the BC representative wanted to have ASH on the phone. During the conversation BC asked for the provider's telephone number and Patient 3 provided Respondent's name and telephone number. Without telling Patient 3, BC connected the Patient 3 on a three way call with Respondent. Patient 3 thought she was speaking with ASH, and stated ASH should not to accept any more billing from Respondent's office. Patient 3 then said

Respondent had committed misconduct which she was handling with the Board. Patient 3 stated the other person got quiet and when Patient 3 asked BC who they connected her with BC stated they connected her with the provider.

- 89. Patient 3 told the investigator that as a result of what Respondent did during her treatment, she felt disgusted and has not seen another acupuncturist. Patient 3 thinks Respondent is a monster and has flashbacks about what happened. What Respondent did to her during his treatment caused tremendous trauma. Patient 3 has been seeing a mental health professional because of what occurred during the acupuncture treatments. Prior to these incidents, Patient 3 had never seen a mental health professional. Patient 3 stated the experience with Respondent changed her opinion of medical health professionals and now she does not trust them.
- 90. Investigator 2 asked Patient 3 questions about Respondent's billing for the treatment sessions. When Patient 3 asked Respondent about his billing he told her in order to get paid on time, he needed to list earlier dates than the dates he actually treated her.
- 91. Patient 3 explained at the beginning of November, 2019, she called ASH because she needed more than bimonthly visits and wanted to inquire about ASH's policy regarding additional visits. During the conversation, ASH told Patient 3 Respondent claimed he had treated her on August 12, 2019, August 26, 2019, September 12, 2019, September 30, 2019, and October 17, 2019. Patient 3 told ASH that Respondent had not treated her on those dates.
- 92. Investigator 2 contacted ASH regarding Respondent's billing history and received information regarding Respondent's claimed billing. Investigator 2 examined the ASH information and information Respondent provided regarding Respondent's treatments of Patient 3. Comparison of the revealed the following:
- 93. Respondent did not bill ASH for Patient 3's November 12, 2019, or December 24, 2019, visits.
- 94. Respondent submitted a claim to ASH for \$110.00 for treatments he allegedly provided on August 12, 2019, August 26, 2019, September 30, 2019, October 17, 2019, October 31, 2019, November 5, 2019, November 21, 2019, December 3, 2019, and December 10, 2019, and was paid \$42.28 for each of the preceding visits he billed. The Investigator determined that

Respondent received \$465.08 from ASH, Patient 3's insurance company, for 11 acupuncture treatments which did not occur.

### STANDARD OF CARE

- 95. The standard of care in the community regarding an acupuncturist's behavior requires that the acupuncturist not touch a patient's intimate body parts.
- 96. The standard of care in the community regarding an acupuncturist's use of Tui-Na requires the practitioner not to touch the patient's breasts.
- 97. The standard of care in the community regarding an acupuncturist's use of Tui-Na requires the practitioner not to touch the patient's pubic area.
- 98. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner to keep records of all patient visits.
- 99. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner to keep accurate records of all treatments performed.
- 100. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner to keep complete, accurate records of the patient's informed consent for all treatments.
- 101. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner not to commit fraudulent acts when they submit billings for payment of health care benefits for acupuncture services and treatments.
- 102. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner not to use false materials when they submit billings for payment of health care benefits acupuncture services and treatments.
- 103. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner to submit accurate billing for acupuncture services and treatments.
- 104. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner to keep accurate records which include a treatment plan.
- 105. The standard of care in the community regarding an acupuncturist's behavior requires the practitioner to obtain consent for treatment from the patient.

129. Respondent's acts and/or omissions as set forth in paragraphs 20 through 94 and paragraphs 117 through 128 above, whether proven individually, jointly, or in any combination thereof, constitute gross negligence, pursuant to section 4955.2, subdivision (a), of the Code. Therefore, cause for discipline exists.

### THIRD CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

- 130. Respondent is subject to disciplinary action under section 4955.2, subdivision (b), of the Code in that he was negligent in his care and treatment of Patient 1, Patient 2, and Patient 3. The circumstances are as follows:
- 131. The allegations of the Second Cause for Discipline are incorporated herein by reference as if fully set forth.
- 132. Respondent's acts and/or omissions as set forth in paragraphs 20 through 94 and paragraphs 117 through 128 above, whether proven individually, jointly, or in any combination thereof, constitute repeated negligent acts, pursuant to section 4955.2, subdivision (b), of the Code. Therefore, cause for discipline exists.

### FOURTH CAUSE FOR DISCIPLINE

(Insurance Fraud)

- 133. Respondent is subject to disciplinary action under section 4955, generally, section 4955, subdivision (i), and section 810, subdivisions (a)(1) and (2), of the Code, in that he knowingly presented or caused to be presented any false or fraudulent claim for the payment of a loss under a contract of insurance and/or he knowingly prepared, made, or subscribed any writing, with intent to present or use the same, or to allow it to be presented or used in support of any false or fraudulent claim thereby committing insurance fraud in his care and treatment of Patient 1, Patient 2 and Patient 3. The circumstances are as follows:
- 134. Complainant refers to, and by reference incorporates herein, paragraphs 20 through 94, inclusive, above.
- 135. Respondent's acts and/or omissions as set forth in paragraphs 20 through 94, whether proven individually, jointly, or in any combination thereof, constitute insurance fraud, pursuant to

section 4955, generally, section 4955, subdivision (i), and section 810, subdivisions (a)(1) and (2), of the Code. Therefore, cause for discipline exists. FIFTH CAUSE FOR DISCIPLINE (Unprofessional Conduct) 136. Respondent is subject to disciplinary action under Code section 4955, generally, in that he committed unprofessional conduct in his care and treatment of Patient 1, Patient 2, and Patient 3. The circumstances are as follows: 137. Complainant refers to, and by reference incorporates herein paragraphs 20 through 135, inclusive, above. 138. Respondent's acts and/or omissions as set forth in paragraphs 20 through 135 above, whether proven individually, jointly, or in any combination thereof, constitute unprofessional conduct, pursuant to Code section 4955, generally. Therefore, cause for discipline exists.